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Thomas Humphrey

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JUN 21 2004

PATENT  
ATTY. DOCKET NO. IBM/67DV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Galbraith et al.  
Serial No. : 09/935,939  
Filed : August 23, 2001  
For : ADVANCED READ CACHE MANAGEMENT

Art Unit: 2187  
Examiner: Brian Peugh

OFFICIAL

Mail Stop AMENDMENT FEE  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Via Facsimile

AMENDMENT TRANSMITTAL

1.  Transmitted herewith is a Response to Office Action.
2.  Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  
 Enclosed is a verified statement to establish Small Entity status  
 Other than a Small Entity
3. The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		LARGE ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Extra	Present Rate	Fee	Present Rate	Fee
TOTAL	23	MINUS	23	0	x \$9	\$0	x \$18	\$0
INDEP.	3	MINUS	3	0	x \$43	\$0	x \$85	\$0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+\$145	\$0	+\$290	\$0	
TOTALS				TOTAL FEE	\$0	TOTAL FEE	\$0	

Additional fee for claims is required.

4.  Attached is a check in the sum of \$. Please charge my Deposit Account No. 23-3000 in the amount of \$ 950.00.

I hereby certify that this correspondence is being facsimile transmitted to Examiner Brian Peugh, Group 2187, at 703-872-9306 on June 21, 2004

Thomas W. Humphrey  
Reg. No. 34,353

07/09/2004 EMAILED 000003 235600  
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5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

(a) X Complete (a) or (b) as applicable.  
 Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

Extension <u>(months)</u>	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<u>      </u> one month	\$ 110.00	\$ 55.00
<u>      </u> two months	\$ 420.00	\$210.00
<u>X</u> three months	\$ 950.00	\$475.00
<u>      </u> four months	\$1,480.00	\$740.00
<u>      </u> five months	\$2,010.00	\$1005.00

Extension fee due with this request \$ 950.00.

If an additional extension of time is required, please consider this a petition therefor. (Check and complete the next item, if applicable)

       An extension for        months has already been secured and the fee paid thereof of \$        is deducted from the total fee due for the total months of extension now requested.  
 Extension fee due with this request \$       .

OR

(b)        Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

X If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,

Thomas W. Humphrey  
Reg. No. 34,353

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